

# Vibrational Signature of Water Molecules in Asymmetric Hydrogen Bonding Environments

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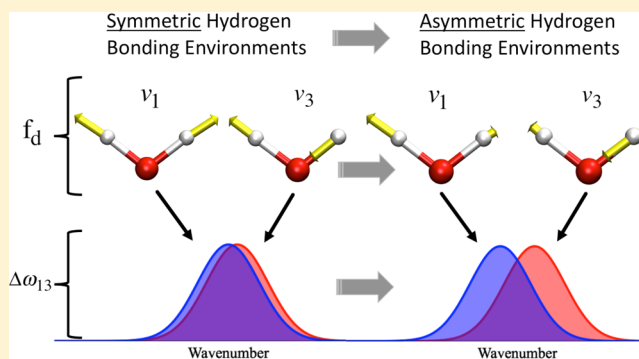
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**S** Supporting Information

**ABSTRACT:** The O–H stretching vibrational modes of water molecules are sensitive to their local environments. Here, we applied effective normal-mode analysis to isolate contributions of each of the two hydrogen atoms to the vibrational modes  $\nu_1$  and  $\nu_3$  of water molecules in the liquid phase. We demonstrate that the decoupling of the two contributions  $f_d$  and the frequency splitting of the vibrational modes  $\Delta\omega_{13}$  are inextricably related to the symmetry of the hydrogen bonding environment. We show that ambient liquid water modeled at the density functional level of theory exhibits the characteristics of an asymmetric environment with an average decoupling of 0.82 and a splitting of 137 inverse centimeters. Such large value of decoupling and splitting would account for the inhomogeneous broadening as observed in the vibrational spectra of liquid water. The computational protocols and the results of this work will facilitate the interpretation of experimental Raman and infrared spectra of interfacial water molecules at hydrophobic, membrane, and protein surface.

**SECTION:** Liquids; Chemical and Dynamical Processes in Solution



The properties and behavior of liquid water have been a subject of scientific investigation for many centuries.<sup>1</sup> Since the early works on the molecular structure of water, it has been accepted that a water molecule in the liquid phase at ambient conditions is bonded, on average, to four neighbors in a distorted tetrahedral configuration.<sup>2,3</sup> This view is based on X-ray and neutron diffraction experiments,<sup>4–6</sup> vibrational spectroscopy,<sup>7–10</sup> thermodynamical data,<sup>2,3</sup> and molecular dynamics simulations.<sup>11–16</sup> However, this traditional picture has recently been questioned based on data from X-ray absorption, X-ray emission, and X-ray Raman scattering experiments.<sup>17</sup> The X-ray spectroscopic features of liquid water have been interpreted as evidence for a large fraction of molecules forming only two strong hydrogen bonds (HBs) in highly asymmetric environments. However, the “rings and chains” structure of liquid water implied by such an interpretation has been challenged on many fronts.<sup>3,18,19</sup>

Recently, Kühne and Khaliullin have applied the energy decomposition analysis based on absolutely localized molecular orbitals (ALMO EDA)<sup>20</sup> to measure the strength of donor–acceptor interactions of individual HBs in liquid water.<sup>21</sup> The ALMO EDA has revealed that even small geometric distortions of HBs cause a substantial change in their strength. Hence, the authors concluded that, although the geometric distortions are not large enough to justify the drastic “chain and ring” model,

the majority of water molecules exhibit a significant asymmetry in the strength of their HBs. They have also established that the distortions responsible for the asymmetry appear as fluctuations on a time scale of hundreds of femtoseconds.

Vibrational motions of water molecules have a characteristic time scale of 10 to 100 fs, which corresponds to the spectroscopic range of 300–4000  $\text{cm}^{-1}$ .<sup>22</sup> Since intramolecular O–H stretching vibrations occur on a significantly shorter time scale than the asymmetry relaxation described by Kühne and Khaliullin,<sup>21</sup> they represent excellent probes for detecting distorted hydrogen bonding environments.<sup>23</sup> Indeed, vibrational spectra of liquid water, such as Raman and infrared (IR), exhibit a large red shift in the frequencies of O–H stretching modes compared to those in the gas phase. In particular, IR spectra have a broad continuum that spans the range from 3000  $\text{cm}^{-1}$  to 3700  $\text{cm}^{-1}$ , with a center at around 3400  $\text{cm}^{-1}$ , while Raman spectra show isosbestic points.<sup>24</sup>

The inhomogeneous broadening in vibrational spectra has become a subject of many theoretical investigations. Valuable insights have been provided by combining classical molecular

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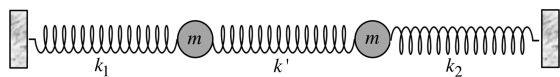
dynamics (MD) simulations and quantum mechanical theory.<sup>25–37</sup> A variety of factors contributing to the broadening have been pointed out, such as hydrogen bonding configurations,<sup>25,33,34</sup> bending overtone,<sup>35</sup> intermolecular vibration<sup>26–29,36,37</sup> as well as coupling of symmetric and asymmetric local modes.<sup>32</sup> To gain a deeper insight, it would be desirable to include both the electronic and nuclear degrees of freedom on an equal footing and treat the intra- and intermolecular O–H vibration simultaneously. Ab initio MD, where the molecular interactions are calculated “on the fly”, is a powerful tool to investigate the vibrational spectra of liquid water.<sup>38–41</sup> Indeed, it has been shown from ab initio MD simulations that charge fluctuations would be an important factor for the inhomogeneous broadening in Raman spectra.<sup>42</sup>

In this Letter, we combine the strengths of ab initio MD,<sup>43,44</sup> effective normal modes analysis,<sup>45,46</sup> and ALMO EDA<sup>20</sup> to investigate vibrational signatures of water molecules in asymmetric hydrogen bonding environments. In particular, we characterize the local hydrogen bonding asymmetry of each water molecule by the contributions of individual O–H vibrations to the stretching modes  $\nu_1$  and  $\nu_3$  and relate it to the corresponding frequency splitting of  $\omega_1$  and  $\omega_3$ . Effective normal mode  $\nu_k$  of each water molecule can be extracted from its vibrational density of states (VDOS) by minimizing the following functional:

$$\Omega^{(n)} = \sum_k \left( \frac{\beta}{2\pi} \int d\omega |\omega|^{2n} P^{\nu_k}(\omega) - \left( \frac{\beta}{2\pi} \int d\omega |\omega|^n P^{\nu_k}(\omega) \right)^2 \right) \quad (1)$$

with respect to  $\nu_k$ . Here  $\beta$  is the inverse temperature,  $n$  is an integer constant, and  $P^{\nu_k}$  is the VDOS of  $\nu_k$ . For  $n = 2$ , this method is equivalent to a normal-mode analysis performed with the thermally averaged Hessian matrix.<sup>45</sup> The contribution of the internal coordinates  $x_j$  (such as bond distances and angles) to  $\nu_k$  is denoted as  $C_{kj}$ <sup>47–49</sup> and can be easily obtained after the minimization procedure. As shown later, this information is very important and can be utilized to define the local hydrogen bonding asymmetry. To illustrate this, we first briefly describe a textbook example of coupled harmonic oscillators (Scheme 1).

**Scheme 1. Coupled Harmonic Oscillators with Mass  $m$  and Restoring Force Constants  $k_1$ ,  $k_2$ , and  $k'$**



In this system, if the restoring force constants are equal (i.e.,  $k_1 = k_2$ ), the normal modes of the system are  $[1,1]$  for the symmetric stretching mode and  $[-1,1]$  for the asymmetric stretching mode. This case represents a symmetric environment, where both oscillators are perfectly coupled. When  $k_1 \neq k_2$ , these two normal modes become  $[\delta + (1 + \delta^2)^{1/2}, 1]$  and  $[\delta - (1 + \delta^2)^{1/2}, 1]$  respectively, where  $\delta = (k_2 - k_1)/2k'$ . Thus, the asymmetry in the restoring force constants leads to a decoupling of the two vibrations, where the degree of decoupling depends on the difference between  $k_1$  and  $k_2$ . In addition, the splitting of the corresponding normal frequencies  $\Delta\omega_{13} \propto 1 + \delta^2$  becomes larger in this case (see Supporting Information, Section A for details).

This simple example implies that asymmetric hydrogen bonding environments might significantly affect the relative contributions of the two intramolecular O–H bonds, further denoted as O–H1 and O–H2, to the symmetric and asymmetric stretching modes  $\nu_1$  and  $\nu_3$  of each water molecule. This provides a possibility to characterize the hydrogen bonding asymmetry with the degree of decoupling  $f_d$  between the O–H1 and O–H2 vibrations:

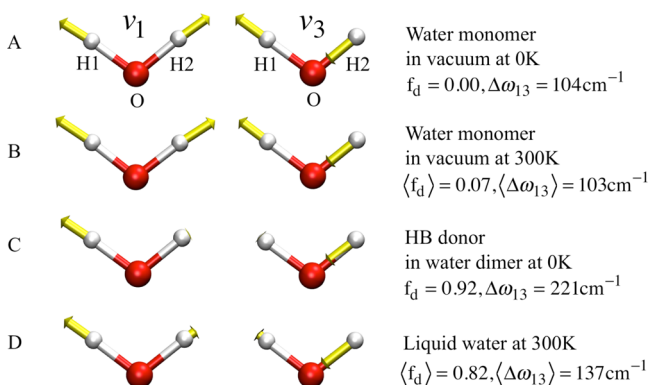
$$f_d = \sum_{k=\nu_1, \nu_3} \frac{|C_{kO-H1} - C_{kO-H2}|}{2(C_{kO-H1} + C_{kO-H2})} \quad (2)$$

where  $C_{kO-H1}$  and  $C_{kO-H2}$  are contributions of O–H1 and O–H2 bonds to the effective normal mode  $k$ , respectively. When  $f_d$  equals 0, the two O–H bonds are completely coupled in their motions, and water molecules are in fully symmetric hydrogen bonding environments. When  $f_d$  equals 1, the two O–H bonds are completely decoupled in their motions, and water molecules are in fully asymmetric hydrogen bonding environments. The example of coupled harmonic oscillators model also show that asymmetric hydrogen bonding environments might also have a substantial impact on the corresponding frequency splitting  $\Delta\omega_{13}$ .

This hypothesis was tested with ab initio simulations. Four model systems were utilized to understand the effect of the hydrogen bonding environment on the vibrational modes: water monomer at 0 K (system A), water monomer at 300 K (system B), water dimer at 0 K (system C) and liquid water at 300 K (system D). The electronic structure problem was solved within the framework of density functional theory (DFT) using the Perdew–Burke–Ernzerhof (PBE) exchange and correlation (XC) functional<sup>50</sup> as implemented in the CP2K suite of programs.<sup>51</sup> Within CP2K, a dual basis of Gaussian-type orbitals and plane waves was utilized.<sup>52</sup> We used an accurate triple- $\zeta$  basis set with two additional sets of polarization functions (TZV2P). The electronic charge density was expanded in terms of plane waves with a density cutoff of 320 Ry. The dual-space Goedecker–Teter–Hutter pseudopotentials<sup>53</sup> were used to account for the core electrons. The simulation box for all gas-phase systems (systems A, B, and C) was set to  $15.7 \text{ \AA} \times 15.7 \text{ \AA} \times 15.7 \text{ \AA}$  and a Poisson solver for isolated systems was employed.<sup>54</sup> For system D, a periodic simulation cell consisting of 128 light water molecules with a density of  $0.9966 \text{ g/cm}^3$  was employed. For systems B and D, we took advantage of the efficient and accurate second-generation Car–Parrinello MD simulation method,<sup>44</sup> with an integration time-step of 0.5 fs. Even though ordinary DFT-PBE water at ambient conditions is somewhat overstructured and less fluid than real water,<sup>15,55</sup> previous work has shown that this setup yields a qualitatively correct description of the structure, diffusion, and vibrational spectrum of liquid water.<sup>56</sup> Furthermore, recent investigations showed that van der Waals interactions are important in describing the structure and dynamics of liquid water;<sup>57–59</sup> however, its effects are less dramatic in NVT simulations, where the density is fixed and set to the experimental value (as in our case), than those in NPT simulations. For system B, the trajectory was accumulated for 20 ps, while for system D, an ab initio MD simulation of 80 ps was carried out, and the last 60 ps were used for the analysis. In order to perform the effective normal modes analysis, we subdivided the ab initio MD trajectories of each water molecule in system D into 2 ps windows, which correspond roughly to the HB lifetime in liquid water<sup>60</sup> and less than the HB lifetime

(6.99 ps) and water residence time (7.25 ps) estimated from our simulations (see Supporting Information Section B for details). This procedure provided a total of 3840 configurations of individual water molecules for the effective normal modes analysis.

The normal modes of a water monomer at 0 K are shown in Figure 1A, where O–H1 and O–H2 are fully coupled (i.e., the



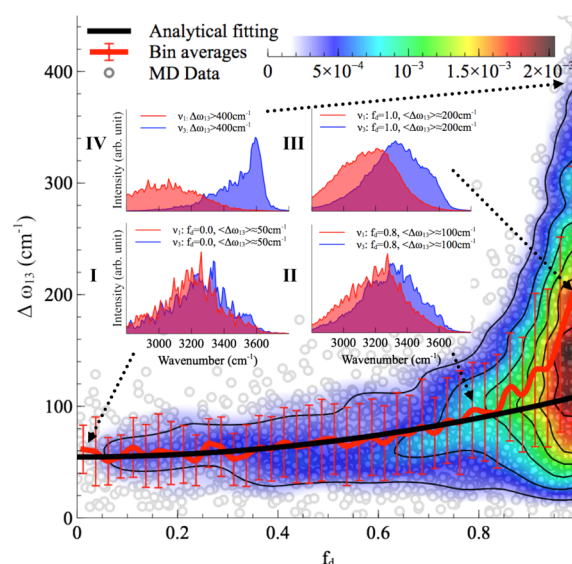
**Figure 1.** The symmetric and asymmetric stretching modes  $\nu_1$  and  $\nu_3$  of a water molecule (A) in vacuum at 0 K, (B) in vacuum at 300 K, (C) as the HB donor of the water dimer at 0 K, and (D) in liquid water at 300 K.  $f_d$  represents the difference in contributions of O–H1 and O–H2 to the symmetric and asymmetric stretching modes  $\nu_1$  and  $\nu_3$ .  $\Delta\omega_{13}$  is the frequency splitting between  $\nu_1$  and  $\nu_3$ .

hydrogen atoms move together with the same amplitudes). This indicates that the water monomer in vacuum experiences a symmetric environment with no intermolecular interactions. At 300 K (Figure 1B), O–H1 and O–H2 remain fully coupled with  $f_d = 0.01$ . This suggests that (i) the temperature and anharmonicity alone have only negligible effects on these modes, and (ii) eq 1 is appropriate for the vibrational analysis at finite temperature.

Next, we analyzed the vibrational characteristics of the HB donor of the water dimer in vacuum at 0 K (Figure 1C), which represents a water molecule in a simple asymmetric hydrogen bonding environment: O–H1 forms an HB, whereas O–H2 does not. In this case, O–H1 and O–H2 of an HB donor are almost completely decoupled with  $f_d = 0.92$ . In addition, we find that  $\Delta\omega_{13}$  increases from  $104\text{ cm}^{-1}$  for the water monomer in vacuum at 0 K to  $221\text{ cm}^{-1}$ . All these observations enforce the hypothesis that  $f_d$  and  $\Delta\omega_{13}$  are excellent descriptors to distinguish the symmetry of the environment of a water molecules.

The effective normal modes analysis of liquid water reveals that the vibrational motions of O–H1 and O–H2 in liquid water are largely decoupled with  $\langle f_d \rangle = 0.82$ . These modes resemble those of the HB donor in the water dimer (Figure 1D). Interestingly, they also share similarities with the instantaneous normal modes of water molecules at the water/vapor interface.<sup>61</sup>

Since liquid water is famous for its structural complexity, the averages over all states provides only an oversimplified picture. Therefore, we analyzed the distribution of water molecules according to their  $f_d - \Delta\omega_{13}$  values (Figure 2) to obtain a more detailed description. As expected, there is a clear positive correlation between  $\Delta\omega_{13}$  and  $f_d$ . Indeed, the expression  $\Delta\omega_{13} \propto 1 + f_d^2$  derived from the coupled harmonic oscillators model (see Supporting Information Section A and Figure S1) also works for liquid water for  $f_d$  less than 0.8. When O–H1 and



**Figure 2.** The normalized joint distribution of vibrational descriptors  $f_d - \Delta\omega_{13}$  for liquid water.  $\langle \Delta\omega_{13} \rangle$  as a function of  $f_d$  is also shown. Error bars denote the standard deviation  $\sigma$  of  $\langle \Delta\omega_{13} \rangle$  for each value of  $f_d$  with a bin size of 0.025. The continuous line has been obtained by fitting the data of  $\langle \Delta\omega_{13} \rangle$  with the expression  $\Delta\omega_{13} \propto 1 + f_d^2$ , as reported in Supporting Information Section A. Insets I–IV denote the vibrational spectra of the symmetric and asymmetric stretching modes  $\nu_1$  and  $\nu_3$  of the four representative regions in the  $(f_d, \Delta\omega_{13})$  space. Each region contains configurations within  $[f_d - 0.025, f_d + 0.025]$  and  $[\langle \Delta\omega_{13} \rangle_{f_d} - \sigma, \langle \Delta\omega_{13} \rangle_{f_d} + \sigma]$ . The corresponding vibrational spectra of  $\nu_1$  and  $\nu_3$  are the averages of the decomposed vibrational density of states of configurations within each region. See Supporting Information Section C and Figure S4 for the calculated full VDOS and IR spectra in comparison with experiments.

O–H2 are fully coupled (i.e.,  $f_d \approx 0$ ),  $\langle \Delta\omega_{13} \rangle$  is about  $50\text{ cm}^{-1}$ . It increases to about  $200\text{ cm}^{-1}$  when O–H1 and O–H2 are fully decoupled (i.e.,  $f_d \approx 1$ ).

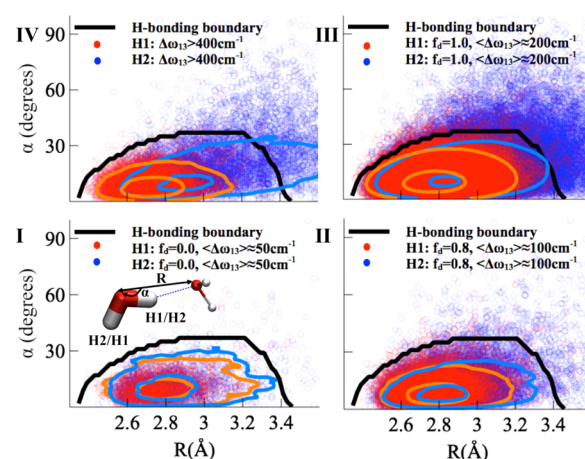
$\Delta\omega_{13}$  of water molecules is not only subject to theoretical calculations but also experimental measurements in both gas<sup>62,63</sup> and condensed phase environments.<sup>64–66</sup> It is also available in experiments for other molecules with  $C_{2v}$  molecular symmetry.<sup>67</sup> The values between  $50\text{ cm}^{-1}$  and  $200\text{ cm}^{-1}$  as we found in liquid water cover almost the entire range of available experimental information. In symmetric environments,  $\Delta\omega_{13}$  has been measured around  $45\text{ cm}^{-1}$  for water molecules isolated in  $D_2O$  cubic ice,<sup>64</sup>  $99\text{ cm}^{-1}$  for a water monomer in the gas phase,<sup>62</sup>  $95\text{ cm}^{-1}$  for an HB acceptor in the water dimer<sup>63</sup> and  $92\text{ cm}^{-1}$  for a water monomer in the hydrophobic liquid  $CCl_4$ .<sup>65</sup> In more asymmetric environments,  $\Delta\omega_{13}$  becomes larger:  $133\text{ cm}^{-1}$  for an HB donor molecule in the water dimer,<sup>63</sup>  $225\text{ cm}^{-1}$  for water molecules at the water/ $CCl_4$  interface<sup>65</sup> and  $230\text{ cm}^{-1}$  for water molecules at the water/ $n$ -alkane interface.<sup>66</sup> The average  $\langle \Delta\omega_{13} \rangle$  obtained in our simulations of liquid water is about  $137\text{ cm}^{-1}$ , which corresponds to a more asymmetric environment.

It is interesting to investigate how the vibrational spectra of  $\nu_1$  and  $\nu_3$  change at different values of  $\langle \Delta\omega_{13} \rangle$ . For this purpose, we selected four representative regions of the two-dimensional  $f_d - \Delta\omega_{13}$  space (insets I–IV in Figure 2). We note that the calculated full VDOS and IR spectra are in qualitative agreement with experiments (see Supporting Information Section C and Figure S4 for details), even though it is known that the calculated IR with PBE functional has a

systemic blue shift in O–H region.<sup>68</sup> Therefore, we focus our analyses on VDOS here. In the symmetric region ( $f_d \approx 0, \langle \Delta\omega_{13} \rangle \approx 50 \text{ cm}^{-1}$ ),  $\nu_1$  and  $\nu_3$  are strongly overlapping with a center at around  $3220 \text{ cm}^{-1}$  (inset I in Figure 2). This coincides with the so-called “ice-like” bands.<sup>69</sup> In the asymmetric region ( $f_d \approx 1, \langle \Delta\omega_{13} \rangle \approx 200 \text{ cm}^{-1}$ ), the overlap between  $\nu_1$  and  $\nu_3$  is significantly reduced, and two distinct peaks at around  $3150 \text{ cm}^{-1}$  and  $3350 \text{ cm}^{-1}$  appear (inset III in Figure 2). The latter is in agreement with the “liquid-like” bands.<sup>69</sup> It is worth mentioning that the majority of water molecules fall into this region of the  $f_d - \Delta\omega_{13}$  space. Such a large value of decoupling and splitting would account for the inhomogeneous broadening as observed in the vibrational spectra of liquid water. It is noteworthy to mention that our findings are in accord with a recent computational study of IR spectra of liquid water,<sup>32</sup> which shows that the sum of bands obtained from symmetric and asymmetric basis modes  $\nu_1$  and  $\nu_3$  reproduces the full spectra, and  $\langle \Delta\omega_{13} \rangle$  is about  $173.4 \text{ cm}^{-1}$ . Furthermore, there are numerous data points with an extremely large split  $\Delta\omega_{13} > 400 \text{ cm}^{-1}$  in Figure 2. These points correspond to a situation in which the peaks of the nonoverlapping  $\nu_1$  and  $\nu_3$  lie around  $3000 \text{ cm}^{-1}$  and  $3600 \text{ cm}^{-1}$ , respectively (inset IV in Figure 2). Remarkably, the latter band resembles that of free dangling O–H bonds at the water/vapor interface.<sup>69,70</sup>

To understand the origins behind the wide range of  $\Delta\omega_{13}$  and  $f_d$  in liquid water, we analyzed the geometry of the two neighboring HB accepting molecules in the representative ( $f_d, \Delta\omega_{13}$ ) regions (insets I–IV in Figure 2). The indices of the closest neighbors were obtained using the interaction energies of individual HBs obtained with ALMO EDA.<sup>20</sup> ALMO EDA was performed for the configurations collected with an interval of 40 fs for each 2-ps piece of the MD trajectory. Here, we are interested in the energies of two HBs  $\text{H}_D \cdots \text{O}_A$  formed by a water molecule via its two hydrogen atoms, where D stands for a water molecule, which donates its hydrogen atom to its neighbor A. For each water molecule D, sorting the neighbors according to the strength of their interactions enables us to find the strongest and the second strongest HB acceptors A. Subsequently, the distances between the oxygen atoms of D and A (denoted simply as R) and the mutual orientations of the two molecules  $\alpha (\angle \text{H}_D \text{O}_D \text{O}_A)$  are calculated for each of the two neighbors (Figure 3).

Figure 3I shows that, in the symmetric region of the vibrational space ( $f_d \approx 0, \langle \Delta\omega_{13} \rangle \approx 50 \text{ cm}^{-1}$ ), HBs formed by both H1 and H2 have almost identical geometries. Furthermore, their geometric parameters lie within the characteristic HB boundary derived from the minimum energy path of the 2D potential of mean force (PMF)<sup>71</sup> and the commonly used angle cutoff of  $30^\circ$  for the definition of HB. With an increase of  $\Delta\omega_{13}$  and  $f_d$ , the HB parameters of H2 start to deviate from those of H1: the intermolecular distance R increases and the HBs becomes more distorted (Figure 3II–III). This is direct evidence that water molecules in liquid experience asymmetry in their first coordination shells. For the region  $\Delta\omega_{13} > 400 \text{ cm}^{-1}$  (Figure 3IV), HB parameters of H2 cross the HB boundary, indicating that some HBs are broken. In this case, a water molecule is in a highly asymmetric environment, resembling that of the HB donor in the water dimer or that of the first-layer water molecules at the water surface. Conceivably, that is the reason why the corresponding vibrational frequency of O–H2 or  $\nu_3$ , as shown in Figure 2 inset IV, could reach  $3600 \text{ cm}^{-1}$  (the vibration of O–H2 and  $\nu_3$  are interchangeable here because the O–H1 and O–H2 are



**Figure 3.** Joint distribution functions of the HB parameters  $R$  and  $\alpha$  for the four representative ( $f_d, \Delta\omega_{13}$ ) regions in Figure 2. Here, H1 corresponds to the hydrogen atom forming the strongest HB, while H2 corresponds to the hydrogen atom forming a weaker HB. This unambiguous assignment comes from ALMO EDA (see text for details). Contour lines (orange and light blue for H1 and H2, respectively) are based on normalized distributions with levels at 0.0005 and 0.005.  $\alpha$  is the angle  $\angle \text{H}_D \text{O}_D \text{H}_A$ , where D and A stand for HB donor and acceptor, respectively.  $R$  is the distance between  $\text{O}_D$  and  $\text{O}_A$ . The HB boundary was determined previously as the minimum energy path of the two-dimensional PMF map.<sup>71</sup>

fully decoupled, and contribution of O–H2 to  $\nu_3$  is nearly 100%).

To summarize, we applied ab initio MD simulation and effective normal modes analysis to investigate the relation between the local hydrogen bonding asymmetry and vibrational spectra. In analogy with the coupled harmonic oscillators model, we have introduced a local hydrogen bonding asymmetry descriptor  $f_d$  through the contribution of the O–H1 and O–H2 vibrations to the stretching modes  $\nu_1$  and  $\nu_3$  of each water molecule and related it to the frequency splitting  $\Delta\omega_{13}$ . An increase of both  $f_d$  and  $\Delta\omega_{13}$  is a vibrational signature of water molecules in asymmetric environments. At the DFT-PBE level of theory, our model of liquid water has  $\langle f_d \rangle \approx 0.82$  and  $\langle \Delta\omega_{13} \rangle \approx 137 \text{ cm}^{-1}$  with the majority having stretching modes  $\nu_1$  at  $\sim 3150 \text{ cm}^{-1}$  and  $\nu_3$  at  $\sim 3350 \text{ cm}^{-1}$ . The established relation between descriptors  $f_d, \Delta\omega_{13}$  and geometric characteristics of HBs via ALMO EDA assignments implies that the distortions of HBs are responsible for the observed strong local asymmetry and frequency splitting. The inhomogeneous broadening of observed vibrational spectra at around  $3400 \text{ cm}^{-1}$  in liquid water would come as a result of such large value of  $\langle f_d \rangle$  and  $\langle \Delta\omega_{13} \rangle$ . The procedure proposed in this Letter is general and applicable to other systems, such as interfacial water molecules and aqueous solutions. Similar results would be also observed for molecules with the  $C_{2v}$  molecular symmetry that form HBs in the liquid phase or in solutions.

## ■ ASSOCIATED CONTENT

### Supporting Information

Relationship between  $\Delta\omega_{13}$  and  $f_d$  in the coupled harmonic oscillators model, estimations of the hydrogen-bond (HB) lifetime  $\tau_{\text{HB}}$  and residence time  $\tau_{\text{res}}$  of the water molecules in liquid water, and comparison of the calculated and experimental infrared (IR) spectra of liquid water. This material is available free of charge via the Internet <http://pubs.acs.org>.

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## Notes

The authors declare no competing financial interest.

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